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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|----------------|----------------------|-------------------------|------------------|
| 09/511,934 | 02/24/2000 | Hideaki Fukuda | ASMJP.032AUS | 5598 |
| 75 | 590 10/02/2002 | | | |
| Knobbe Martens Olson & Bear LLP 620 Newport Center Drive | | | EXAMINER | |
| 16th Floor | | | KACKAR, RAM N | |
| Newport Beach | , CA 92660 | | | |
| | | | ART UNIT | PAPER NUMBER |
| | | | 1763 | |
| | | | DATE MAILED: 10/02/2002 | |

Please find below and/or attached an Office communication concerning this application or proceeding.

Advisory Action

| Application No. | Applicant(s) | ics |
|-----------------|---------------|-----|
| 09/511,934 | FUKUDA ET AL. | |
| Examiner | Art Unit | |
| Ram N Kackar | 1763 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE REPLY FILED 23 September 2002 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE. Therefore, further action by the applicant is required to avoid abandonment of this application. A proper reply to a final rejection under 37 CFR 1.113 may only be either: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114.

| | Examination (RCE) in compliance with 37 CFR 1.114. |
|---|--|
| | PERIOD FOR REPLY [check either a) or b)] |
| | a) 🔀 The period for reply expires <u>3</u> months from the mailing date of the final rejection |
| | o) In the period for reply expires on: (1) the mailing date of this Advisory Action, or (2) the date set forth in the final rejection, whichever is later. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of the final rejection. ONLY CHECK THIS BOX WHEN THE FIRST REPLY WAS FILED WITHIN TWO MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f). |
| | Extensions of time may be obtained under 37 CFR 1.136(a). The date on which the petition under 37 CFR 1.136(a) and the appropriate extension fee have been filed is the date for purposes of determining the period of extension and the corresponding amount of the fee. The appropriate extension fee under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the shortened statutory period for reply originally set in the final Office action; or (2) as set forth in earned patent term adjustment. See 37 CFR 1.704(b). |
| | 1. A Notice of Appeal was filed on Appellant's Brief must be filed within the period set forth in 37 CFR 1.192(a), or any extension thereof (37 CFR 1.191(d)), to avoid dismissal of the appeal. |
| | 2. A The proposed amendment(s) will not be entered because: |
| | (a) \boxtimes they raise new issues that would require further consideration and/or search (see NOTE below); (b) \square they raise the issue of new matter (see Note below); |
| | (c) ☐ they are not deemed to place the application in better form for appeal by materially reducing or simplifying the issues for appeal; and/or |
| | (d) they present additional claims without canceling a corresponding number of finally rejected claims. |
| | NOTE: <u>See attached</u> . |
| | 3. Applicant's reply has overcome the following rejection(s): |
| | 4. Newly proposed or amended claim(s) would be allowable if submitted in a separate, timely filed amendment canceling the non-allowable claim(s). |
| | 5. The a) affidavit, b) exhibit, or c) request for reconsideration has been considered but does NOT place the application in condition for allowance because: |
| | 6. The affidavit or exhibit will NOT be considered because it is not directed SOLELY to issues which were newly raised by the Examiner in the final rejection. |
| | 7. For purposes of Appeal, the proposed amendment(s) a) will not be entered or b) will be entered and an explanation of how the new or amended claims would be rejected is provided below or appended. |
| | The status of the claim(s) is (or will be) as follows: |
| | Claim(s) allowed: |
| | Claim(s) objected to: |
| | Claim(s) rejected: |
| | Claim(s) withdrawn from consideration: |
| Š | B. ☐ The proposed drawing correction filed on is a) ☐ approved or b) ☐ disapproved by the Examiner. |
| ç | 9. Note the attached Information Disclosure Statement(s)(PTO-1449) Paper No(s). |
| 1 | 0. Other: |
| | GREGORY MILLS |
| | SUPERVISORY PATENT EXAMINER TECHNOLOGY CENTER 1700 |

U.S. Patent and Trademark Office

Application/Control Number: 09/511,934

Art Unit: 1763

The proposed amendments are not entered, as the new issues raised by them would require to be searched and examined further.

Regarding Applicants arguments

- 1 Kao discloses the purpose of flowing inert gas both for removing loose particles (Abstract) as well as stabilizing the pressure (Col 11 line 52). The motivation for combining Kao to Frankel comes strongly from these teachings.
- Frankel discloses the temperature of the susceptor to be 500-800 (Col 53 line 38). Also both Frankel (Col 45 line 57) and Kao (Col 17 line 59) suggest the preferred temperature for cleaning to be 550-600. Therefore it would have been obvious to reduce the temperature at least in cases when the deposition was being done at higher than 600 C.